

ENERTUR – DOMINICAN REPUBLIC
Environmental and Social Action Plan (ESAP)

No.	Aspect	Action	Deliverable	Delivery date
PS 1: Assessment and Management of Environmental and Social Risks and Impacts				
1.1	Environmental Management System	1. Appoint (or hire, if necessary) the personnel who will oversee the environmental and social management of the Project.	1. Copy of contracts or appointments.	1. Prior to Financial Closure.
		2. Complete the social baseline of the Project to include the specific potential impacts and risks in the bateyes (Lalon and Tocones) that could be generated by the Project during the harvest.	2. Updated Stakeholder Engagement Plan (SEP).	2. No later than 30 days after the first disbursement.
		3. Execute the Project's environmental and social management plan (ESMP).	3. Evidence of execution.	3. As part of the Environmental and Social Compliance Report (ESCR).
		4. Disseminate the Environmental and Social Policy to key stakeholders.	4. Evidence of disclosure.	4. Prior to Financial Closure.
		5. Disseminate the Environmental and Social Policy to in-house and contracted personnel.	5. Evidence of disclosure.	5. No later than 30 days after the first disbursement.
1.2	Gender Programs	1. Prepare a program for the equal participation of men and women in the Project.	1. Program for the equal participation of men and women.	1. No later than 30 days after the first disbursement.
		2. Execute the program for the equal participation of men and women.	2. Evidence of execution.	2. As part of the ESCR.
1.3	Stakeholder Engagement	1. Complete a Project Stakeholder Map.	1. Stakeholder Map.	1. No later than 30 days after the first disbursement.
		2. Update the Stakeholder Engagement Plan (SEP).	2. Stakeholder Engagement Plan.	2. No later than 45 days after the first disbursement.
		3. Execute the SEP.	3. Evidence of execution.	3. As part of the ESCR.
		4. Conduct the public consultation process for the Project.	4. Minutes of the consultation events.	4. Prior to Financial Closure.
1.4	Disclosure of Information	1. Define the Project's information dissemination procedures, including, but not limited to, a description of the media to be used and the target groups.	1. Information dissemination procedure.	1. Prior to Financial Closure.
		2. Disseminate Project information.	2. Evidence of disclosure.	2. As part of the ESCR.
1.5	Grievance mechanism (CCCSs)	1. Socialize the external grievance mechanism.	1. Evidence of socialization of CCCSs.	1. No later than 45 days after the first disbursement.
1.6	Environmental license	1. Obtain the environmental license for the Project	1. Environmental license copy.	1. Prior to the order to proceed.
1.7	Permit matrix	1. Update the permit matrix.	1. Updated permit matrix.	1. No later than 30 days after the first disbursement and then as part of the ESCR.
PS 2: Labor and Working Conditions				
2.1	Internal grievance and complaint mechanism.	1. Update the grievance and complaint mechanism for workers so that: i) it describes the process from the capture to the resolution of the complaint; ii) it allows the capture of anonymous complaints; and iii) it guarantees the transparency of the process and the non-retaliation against the complainant.	1. Updated internal grievance mechanism.	1. No later than 30 days after the first disbursement.

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		2. Implement the grievance and complaint mechanism for workers.	2. Internal complaint capture and processing statistics.	2. No later than 30 days after the first disbursement and then as part of the ESCR.
		3. Disseminate the internal grievance and complaint mechanism among the workers.	3. Evidence of dissemination of the mechanism to workers.	3. No later than 30 days after the first disbursement and then as part of the ESCR.
2.2	Supply Chain	1. Provide a copy of the signed contract with the supplier of the photovoltaic components.	1. Contract copy.	1. Prior to the order to proceed.
PS 3: Resource Efficiency and Pollution Prevention				
3.1	Water Consumption	1. Keep a control log of the volumes of water used by the Project.	1. Water consumption record.	1. As part of the ESCR.
3.2	Pollution Prevention	1. Update the emergency response plan to include specific measures for managing fires caused by burning sugarcane after the sugarcane harvest.	1. Updated emergency response plan.	1. Prior to Financial Closure.
		2. Submit a procedure for the handling and disposal of discarded solar panels.	2. Procedure for the handling of solar panels.	2. Prior to the order to proceed.
PS 4: Community Health, Safety, and Security				
4.1	Infrastructure and Equipment Design and Safety	1. Present a certificate issued by qualified life and fire safety (L&FS) professionals indicating: (i) that all Project facilities and buildings were constructed in accordance with the approved L&FS designs; (ii) that all equipment was installed according to the L&FS design; and (iii) that all L&FS equipment was tested in accordance with international requirements.	1. L&FS certification.	1. 20 days prior to the Project start-up.
4.2	Contractor management	1. Require contractors to submit an impact management plan to prevent and mitigate undesirable impacts on the community (bateyes).	1. Impact management plan to the contractors community.	1. Prior to the order to proceed.
4.3	Security Personnel	1. Train security guards in the proportional use of force and human rights.	1. Evidence of training.	1. Prior to hiring the guards and then as part of the ESCR.
4.4	Traffic Management Plan	1. Update Traffic Management Plan to include details of coordination with the La Romana sugar mill to prevent potential collisions and accidents.	1. Updated Traffic Management Plan.	1. Prior to the order to proceed with construction.
PS 5: Land Acquisition and Involuntary Resettlement				
5.1	Involuntary Resettlement	1. Present a socioeconomic characterization study of the 9 families that were displaced from the barracks in favor of the project.	1. Socioeconomic characterization study	1. Before the first disbursement.
		2. Present a livelihood restoration plan for the 9 displaced families.	2. Livelihood Restoration Plan	2. 90 days after the first disbursement.
		3. Implement the livelihood restoration plan for the 9 displaced families.	3. Evidence of Execution	3. As part of the ESCR.
		4. Provide an external assessment of the current livelihood situation of these families.	4. Livelihood Assessment Report	4. Three years from the date on which the implementation of the life restoration plan begins.